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Dkt. 62166 JPW/EMW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Thomas M. Jessell et al.
Serial No. : 09/654,462
Filing Date : September 1, 2000
For : GENETIC DEMONSTRATION OF REQUIREMENT FOR
NKX6.1 AND NKX2.2 IN VENTRAL NEURON GENERATION

1185 Avenue of the Americas
New York, New York 10036
November 1, 2000

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

**TRANSMITTAL OF VERIFIED STATEMENT
(DECLARATION) CLAIMING SMALL ENTITY STATUS UNDER
37 C.F.R. §1.9(f) AND §1.27(d) AND REQUEST FOR REFUND**

A filing fee of \$760.00 was originally paid in connection with the filing of the above-identified patent application on September 1, 2000. The corresponding fee for a small entity is \$345.00. The deadline for making a request for refund is two months after the September 1, 2000 filing date, i.e., November 1, 2000. All rights in the United States under this application are either assigned or licensed to a small entity and this request is being timely made. Applicants note an error in the filing fee, a fee of \$690.00 was due, however, a fee of \$760.00 was sent to the U.S. Patent and Trademark Office in error. Applicants also wish to request a refund of the \$70.00 overpayment in addition to the \$345.00

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U.S. Serial No.: 09 664,462
Filed: September 1, 2000
Page 2

entitled under small entity status. Accordingly, a refund in the total amount of \$415.00 is hereby requested pursuant to 37 C.F.R. §1.28(a). This refund should be credited to Deposit Account No. 03-3125 under Docket No. 62166.

In support of this request for a refund, applicants submit herewith, as **Exhibit A**, a Verified Statement (Declaration) Claiming Small Entity Status under 37 C.F.R. §1.9(f) and §1.27(d) signed by Michael J. Cleare. The Verified Statement is signed by an authorized official of the entity who has rights in the subject application, namely, The Trustees of Columbia University in the City of New York.

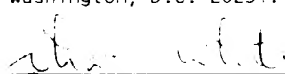
No fee is deemed necessary in connection with the filing of this Transmittal. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,



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I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:
Assistant Commissioner for Patents,
Washington, D.C. 20231.


John P. White
Reg. No. 28,678

Date